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## Structure of the specific combining ability between two species of *Eucalyptus*. II. A clustering approach and a multiplicative model

Received: 3 November 1996 / Accepted: 8 November 1996

**Abstract** The *Eucalyptus* breeding program of URPPI (a partnership between CIRAD-Forêt, Centre National de la Recherche Forestière du Congo, and Unité d'Aforestation Industrielle du Congo) consists of a reciprocal recurrent selection scheme developed in the Congo between the two species *Eucalyptus urophylla* and *Eucalyptus grandis*. Two approaches are proposed in order to model and predict the specific combining ability (SCA) between these species. The clustering approach uses a simultaneous clustering procedure of the two species based on SCA and reveals heterotic groups coherent with the geographical origins of *E. urophylla* genotypes. The second approach uses a multiplicative model to partition the SCA into three multiplicative terms explaining 95% of the interaction.

**Key words** Analysis of interaction · Heterosis breeding · Clustering procedure · AMMI model *Eucalyptus*

### Introduction

Although plant breeders generally rejoice at the heterosis effect, the interaction which it reflects becomes an

actual problem as far as its interpretation, and *a fortiori* its prediction, is concerned. If the additive model is, most of the time, too simple to reconstitute the pattern of the data, the complete interactive model provides no predictive information. The aim of this paper is to propose two intermediate models between these two extremes, that is to say to constrain the interaction term. The set of possible models could be split in two families, i.e. the models resulting from a clustering approach and the multiplicative models. The more-accurate clustering approach dealing with specific combining ability (SCA) is naturally the crossed clustering. This procedure (Corsten and Denis 1990) defines one dendrogram on rows (for example, females) and another dendrogram on columns (for example, males) which are used simultaneously to produce successive nested models (Byth et al. 1976). The multiplicative models enclose both the joint regression (Finlay and Wilkinson 1963) and the factorial regression (Denis 1988). All these models, considering the main effects as fixed, have already been used in studies dealing with genotype  $\times$  environment interaction and could provide valuable tools in SCA prediction studies.

### Materials and methods

A factorial mating design involving nine female trees of *Eucalyptus urophylla* and nine male trees of *Eucalyptus grandis* established in 1990 produced 49 families (among the 81 possible ones) with three or four replications per family (Baril et al. 1996). The female trees came from two locations clearly differentiated in the island of Flores, namely Monte Lewotobi and Monte Egon, while the male trees came from four near locations in North Queensland (Australia), namely North Herberton, Millaa, East Atherton and Tinaroo Falls Down. Table 1 shows the origins of the different genotypes of *E. urophylla* and *E. grandis*. Within the context of a reciprocal recurrent selection scheme, developed by CIRAD-Forêt since 1989 and described by Vigneron (1991), this reduced mating design constitutes a sub-set of a larger one, involving 13 selected trees of *E. urophylla* and 13 selected trees of *E. grandis*, in which the experimental unit is a square plot of  $4 \times 4 = 16$  trees (Bouvet and Vigneron 1995). Height

Communicated by P. M. A. Tigerstedt

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**Table 1** Geographical origins of provenances of *Eucalyptus* species. Female trees are numbered as following: 1, 2, 3, 4, 5, 6, 9, 11 and 12, and male trees are numbered from 1 to 9

Female trees ( <i>E. urophylla</i> )		Male trees ( <i>E. grandis</i> )	
Provenance	#	Provenance	#
Monte Lewotobi	1	North Herberton	1
	2		5
	4		8
	5	Millaa	4
	12		6
Monte Egon	3	East Atherton	7
	6		9
	9	Tinaroo Falls Down	2
	11		3

and circumference at 1.3 m were measured at 38 months (half-rotation age in commercial plantations) and volume was calculated by considering the trunk as a cone.

Knowing that missing values account for 40% of the dataset, they were estimated by the bi-joint regression model, as proposed by Baril et al. (1996), in order to avoid fallacious estimates (Denis and Baril 1992), for the two following reasons: firstly, the coefficient of determination of this model equals 90.8% and secondly, it provides estimates which retain part of the interaction, in opposition to the additive model. The bi-joint regression model is written as:

$$E(Y_{ij}) = \mu + \alpha_i + \beta_j + \rho \cdot \hat{\alpha}_i \cdot \hat{\beta}_j + v_j \cdot \hat{\alpha}_i + \lambda_i \cdot \hat{\beta}_j,$$

where  $\hat{\alpha}_i$  and  $\hat{\beta}_j$  are the estimates of the additive parameters  $\alpha_i$  and  $\beta_j$ , that is to say the general combining abilities (GCAs). Firstly, the additive model is adjusted to the data in order to estimate the additive parameters, and secondly, the bi-joint regression model can be adjusted to the data.

One may note that this model is a particular case of the factorial regression model, itself a member of the multiplicative-models family (Baril et al. 1995), where the only co-variate associated with each factor is the estimate of the corresponding main effect.

The clustering procedure and the multiplicative models were then applied to the new complete dataset using the computer package INTERA (Decoux and Denis 1991).

#### *A posteriori* structure of the two parental samples

Cluster analysis is used to classify females and males in order to distinguish and interpret female  $\times$  male interaction (SCA). A simultaneous clustering method (Corsten and Denis 1990) is applied to the individual tree trunk volume of hybrids at 38 months between female  $i$  and male  $j$  ( $Y_{ij}$ ). This procedure is based on the model initially proposed by Byth et al. (1976):

$$E(Y_{ij}) = \mu + B_{f(i)} + W_i + B_{m(j)} + W_j + (BB)_{f(i)m(j)} + (BW)_{f(i)j} + (WB)_{im(j)} + (WW)_{ij}, \quad (1)$$

where  $f(i)$  and  $m(j)$  designate the groups formed by females and males, respectively;  $\mu$  is the general mean;  $B_{f(i)}$  is the female between-group effect,  $W_i$  is the female within-group effect ( $B_{f(i)} + W_i$  being the female main effect);  $B_{m(j)}$  is the male between-group effect and  $W_j$  is the male within-group effect ( $B_{m(j)} + W_j$  being the male main effect). In a similar way, SCA interaction is made of four parts:

$$(BB)_{f(i)m(j)} + (BW)_{f(i)j} + (WB)_{im(j)} + (WW)_{ij},$$

where  $(BB)_{f(i)m(j)}$  is the between-between component;  $(BW)_{f(i)j}$  is the between-within component;  $(WB)_{im(j)}$  is the within-between component; and  $(WW)_{ij}$  is the within-within component, which could be considered as the residual. The simultaneous two way clustering procedure minimizes the sum of the three last components of interaction  $BW + WB + WW$ , which means it maximizes the  $BB$  component. Let  $I$  and  $J$  be the number of females and the number of males, respectively, and let  $F$  and  $M$  be the number of groups of females and the number of groups of males, respectively, then during the hierarchical clustering process  $F \leq I$  and  $M \leq J$ . At the beginning of the procedure  $F = I$  and  $M = J$  (the  $BB$  component contains the whole interaction), while at the end of the procedure  $F = M = 1$  (the  $WW$  component contains the whole interaction). The merging procedure was ended according to the stopping criterion proposed by Baril et al. (1994). In that paper, the authors propose to stop the agglomerative hierarchical clustering procedure when the cumulative mean square associated with the  $BB$  component of interaction becomes higher than the global interaction mean square.

#### Multiplicative model applied to the tree trunk volume of hybrids

The multiplicative model, also called the bi-additive model (Denis and Gower 1992, 1994) or AMMI model, as additive main effects and multiplicative interaction (Gauch 1988), was initially proposed by Gollob (1968). It is equivalent to the principal components analysis (PCA) method applied to the residual term of the additive model (Mandel 1969). The usefulness of the bi-additive model has been amply demonstrated (Mandel 1971; Bradu and Gabriel 1978; Kempton 1984; Crossa et al. 1990) for the analysis of genotype  $\times$  environment interaction. Moreover, this model considering the two factors symmetrically, seems to be perfectly adapted to female  $\times$  male interaction, in which the two main effects are of the same nature. The full bi-additive model is written as:

$$E(Y_{ij}) = \mu + \alpha_i + \beta_j + \sum_{r=1}^R \theta_r \cdot \lambda_{r(i)} \cdot \delta_{r(j)}, \quad (2)$$

Here the interaction term (SCA) is changed to a sum of products of female scores  $\lambda_{r(i)}$  and male scores  $\delta_{r(j)}$  scaled by the proportionality constant  $\theta_r$ . This breakdown of the additive model residual in  $r$  bi-additive terms [with  $r = 1, \dots, R$ ;  $R = \inf(I - 1, J - 1)$ ] increases the global parametrical dimension of  $I + J - 1 - 2r$  for each additional multiplicative term. The female (or male) scores can be interpreted as sensitivities with respect to the male (or female) scores.

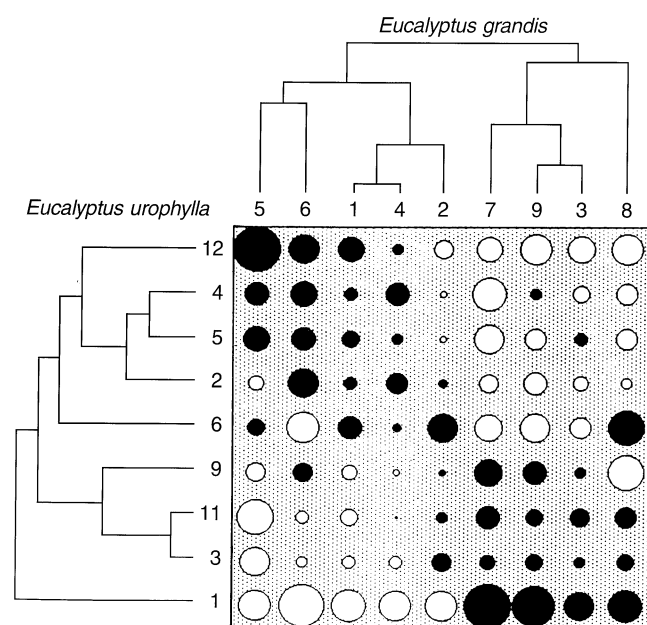
## Results

#### *A posteriori* structure of the two parental samples

The ANOVA table of the structure model (equation 1) of parental samples applied to the tree trunk volume at 38 months is shown in Table 2. The  $BB$  part of SCA accounts for 83.5% of the interaction sum of squares. Hence, most of the SCA is explained by the crossed groupings of *E. urophylla* and *E. grandis*. Although the clustering criterion does not depend on the main effects, it is notable that the between-groups sum of squares accounts for 79.7% of the female effect and for 92.7% of the male effect. The simultaneous clustering procedure has been stopped for five groups of *E. urophylla* and five groups of *E. grandis*, according to the

**Table 2** ANOVA table of the structure model of parental populations. The last column of the ANOVA table shows the amount of the variability of the phenotypic character explained by each term of the structure model (equation 1)

Source of variation	Sum of squares	df	Mean squares	F-test	P-level	% Sum of squares
<i>E. urophylla</i>	<b>4597.82</b>	<b>8</b>	<b>574.73</b>	—	—	<b>60.5%</b>
Between groups (B)	3664.15	4	916.04	321.2	<0.001	48.2%
Within group (W)	933.67	4	233.42	81.8	<0.001	12.3%
<i>E. grandis</i>	<b>1072.03</b>	<b>8</b>	<b>134.00</b>	—	—	<b>14.1%</b>
Between groups (B)	993.82	4	248.45	87.1	<0.001	13.1%
Within group (W)	78.21	4	19.55	6.9	0.002	1.0%
<i>E. urophylla</i> × <i>E. grandis</i>	<b>1934.26</b>	<b>64</b>	<b>30.22</b>	—	—	<b>25.4%</b>
BB	1614.76	16	100.92	35.4	<0.001	21.2%
BW	140.47	16	8.78	3.1	0.015	1.8%
WB	133.40	16	8.34	2.9	0.019	1.7%
WW	45.63	16	2.85	—	—	0.6%



**Fig. 1** Table of SCA with the dendrograms associated to the two *Eucalyptus* species. The cutting criterion of each dendrogram provides five groups of genotypes. Circle size stands for the value of SCA, solid circles show positive SCAs and empty circles show negative SCAs

threshold proposed by Baril et al. (1994). The two dendrograms resulting from this procedure are shown in Fig. 1 with the table visualizing the additive-model residuals. The order of rows (females) and columns (males) of this table being compatible with the results of the simultaneous clustering, two groups combining specific female trees with specific male trees clearly lead to a positive SCA (solid circles). Table 3 shows the percentages of the residual sum of squares of the additive model (i.e. the interaction sum of squares) separately attributed to each female tree and each male tree. This quantity represents the contribution of each parent to the whole interaction, namely the SCA.

**Table 3** Contribution of each parent to the residual sum of squares of the additive model. Female trees and male trees are ordered according to the results of simultaneous clustering

Female trees ( <i>E. urophylla</i> )		Male trees ( <i>E. grandis</i> )	
#	Percentage	#	Percentage
12	20.08	5	19.74
4	5.99	6	16.10
5	4.34	1	4.84
2	3.26	4	3.54
6	12.12	2	4.54
9	6.04	7	19.42
11	5.53	9	13.55
3	2.49	3	3.88
1	40.14	8	14.40

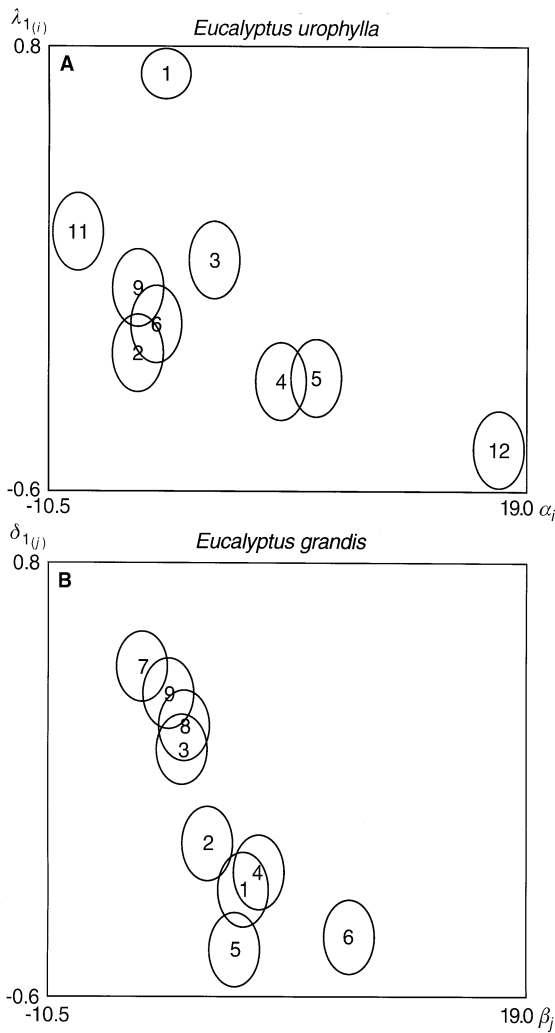
Multiplicative model applied to the tree trunk volume of hybrids

The multiplicative model (equation 2) has been applied to the individual tree trunk volume at 38 months with an increasing number of multiplicative terms until the last one became insignificant. The ANOVA table of the multiplicative model with only three significant multiplicative terms is shown in Table 4. The first, second and third terms account for 67.3%, 17.1% and 10.7% of the SCA, respectively. Confidence ellipses ( $P$ -level equals 10%) around the estimates of the most important parameters of the multiplicative model are plotted in Figs. 2 and 3. Figure 2-A (or Fig. 2-B) shows the plot of the first multiplicative parameter  $\lambda_{1_{(w)}}$  (or  $\delta_{1_{(w)}}$ ) against the additive parameter  $\alpha_i$  (or  $\beta_j$ ). Figure 3-A (or Fig. 3-B) shows the second multiplicative parameter  $\lambda_{2_{(w)}}$  (or  $\delta_{2_{(w)}}$ ) against the first multiplicative parameter  $\lambda_{1_{(w)}}$  (or  $\delta_{1_{(w)}}$ ). The interpretation of these graphs may not depend on the signs of the multiplicative parameters because the eigen vectors are defined up to a proportionality coefficient.

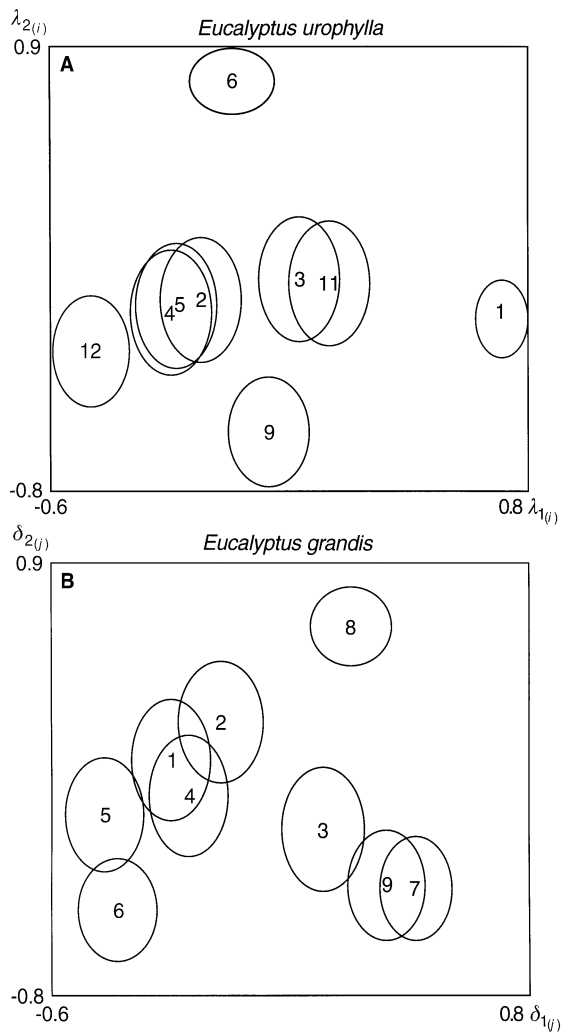
**Table 4** ANOVA table of the multiplicative model with three terms. The last column of the ANOVA table shows the amount of the variability of the phenotypic character explained by each term of the

multiplicative model (equation 2); MT1, MT2 and MT3 stand for the first, second and third multiplicative terms, respectively

Source of variation	Sum of squares	df	Mean squares	F-test	P-level	% Sum of squares
<i>E. urophylla</i>	<b>4597.82</b>	<b>8</b>	<b>574.73</b>	—	—	60.5%
<i>E. grandis</i>	<b>1072.03</b>	<b>8</b>	<b>134.00</b>	—	—	14.1%
<i>E. uro.</i> × <i>E. grandis</i>	<b>1934.26</b>	<b>64</b>	<b>30.22</b>	—	—	25.4%
MT1	1302.57	15	86.84	23.1	<0.001	17.1%
MT2	330.70	13	25.44	6.8	<0.001	4.3%
MT3	206.92	11	18.81	5.0	0.001	2.7%
Residual	94.06	25	3.76	—	—	1.2%



**Fig. 2A, B** Plot of the first multiplicative parameters versus the additive parameters. **A** Parameters associated to *Eucalyptus urophylla* ( $\lambda_{1(i)}$  versus  $\alpha_i$ ). **B** Parameters associated to *Eucalyptus grandis* ( $\delta_{1(i)}$  versus  $\beta_j$ ). Confidence ellipses are worked out at the 10% P-level



**Fig. 3A, B** Plot of the second multiplicative parameters versus the first multiplicative parameters. **A** Parameters associated to *Eucalyptus urophylla* ( $\lambda_{2(i)}$  versus  $\lambda_{1(i)}$ ). **B** Parameters associated to *Eucalyptus grandis* ( $\delta_{2(i)}$  versus  $\delta_{1(i)}$ ). Confidence ellipses are worked out at the 10% P-level

## Discussion

The comparison of *a posteriori* structure with *a priori* knowledge of genotype origins inside each parental population allows an interpretation of the heterotic groups in *E. urophylla* based on geographical origins. For this population, the terminating criterion of the clustering procedure leads to the definition of five groups containing two groups of three genotypes [(4, 5, 2) and (9, 11, 3)] and three groups of one genotype [(1), (12) and (6)]. These one-genotype groups contain the more interactive mother trees responsible for 40.1%, 20.1% and 12.1% of the interaction sum of squares, respectively. The first three-genotypes group contains genotypes originating from Monte Lewotobi, while the second three-genotypes group contains genotypes originating from Monte Egon. No relation appears between the *a posteriori* structure and the origins of *E. grandis*. This is probably due to the weak contribution of the male effect on the whole variability (only 14.1%), in contrast to the large contribution of the female effect (60.5%). The analysis of RAPD data corresponding to the same factorial mating design (Baril et al. 1996) had already led to the conclusion that the genetic variability of *E. urophylla* was greater than the genetic variability of *E. grandis*.

The first multiplicative term accounts for 67.3% of the interaction sum of squares. This result probably reflects the fact that the tree trunk volume is itself the product of two traits, namely height and circumference. It also could reflect the complementarity between the two species: while *E. urophylla* is well adapted to the environmental conditions in the Congo, *E. grandis* presents an important growth potential. These two traits combined inside the hybrid individuals could express their synergy in a multiplicative way.

The groupings of parents of each species obtained by the two methods, namely the simultaneous clustering procedure and the plot of the two first multiplicative parameters, are quite similar. This result is not surprising because the coefficient of determination of the two associated models are both high [i.e. 95.9% if only the *BB* part of interaction is considered in equation 1 and 96.1% if only MT1 (first multiplicative term) and MT2 (second multiplicative term) are considered in equation 2]. The fact that female and male main effects are highly explicable by the five groups provided by the simultaneous clustering procedure is consistent with the quite linear relation between the additive parameters and first multiplicative parameters.

In a previous paper we tried to explain the SCA of the same phenotypic character (tree trunk volume at 38 months) using a factorial regression model where the co-variate associated to each parental population was

the mean genetic distance, calculated with respect to the other population, from RAPD data. The conclusion of this study was that "it would be more efficient to find specific bands for genomic regions actually contributing to heterosis". The genetic distance calculated with these selected bands would have a better predictive power for the heterosis phenomenon. The present study shows that the clustering method provides an interesting tool to reveal heterotic groups in the two complementary populations *E. urophylla* and *E. grandis*. With a predictive aim, it would be interesting to split the parental populations into groups according to the crossed clustering results and then to look for the RAPD bands responsible for this structure. A genetic distance, whose definition has to be specified, based on the presence and/or the absence of these bands could be highly related to the specific combining ability.

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